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Machine learning for forest structure assessments

Jan Dirk Wegner

EcoVision Lab

Universität Zürich & ETH Zürich

Vegetation analysis at global scale

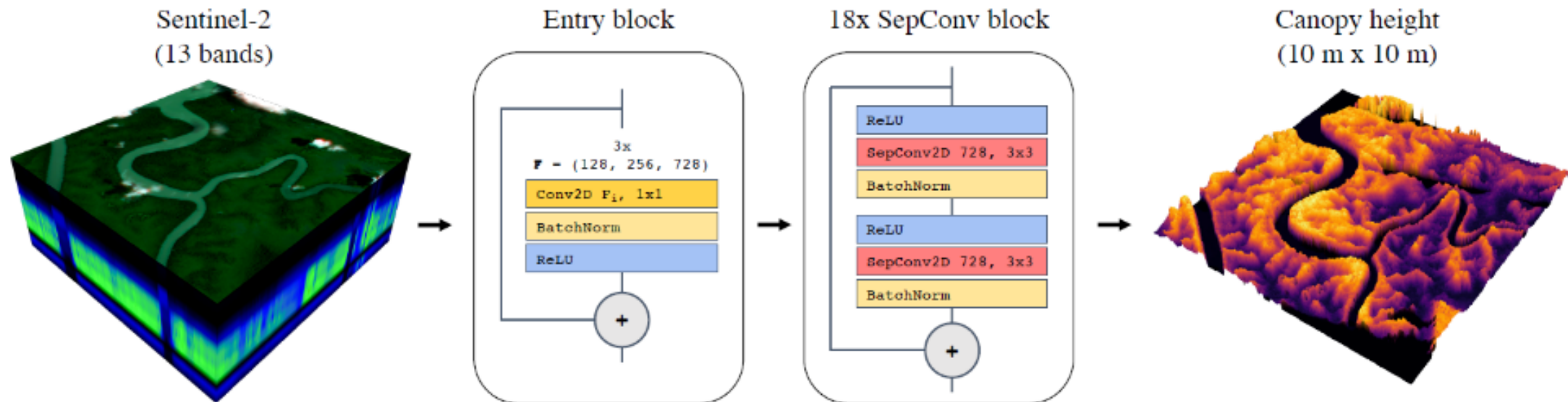
- **Goal:** Dense, near-realtime forest structure assessments at global scale with 10-20m ground sampling distance
- Monitor vegetation parameters to have accurate, up-to-date input for climate and biodiversity modelling
- **Idea:** use *single satellite images* to predict vegetation height (and later more variables like biomass)



© Mighty Earth

Method: network architecture

- Avoid down- or up-sampling: stride 1, no max-pooling
- 18 identical, separable convolution (SepConv) blocks do not only learn spectral features that correlate with canopy height, but also spatial context and texture features.



Lang, N., Schindler, K., Wegner, J.D.: Country-wide high-resolution vegetation height mapping with Sentinel-2, Remote Sensing of Environment, 2019, vol. 233, article 111347.

Method: loss function

- Euclidean loss function for regression of continuous height values
- **L2-penalty term** on model parameters («weight decay») as regularizer

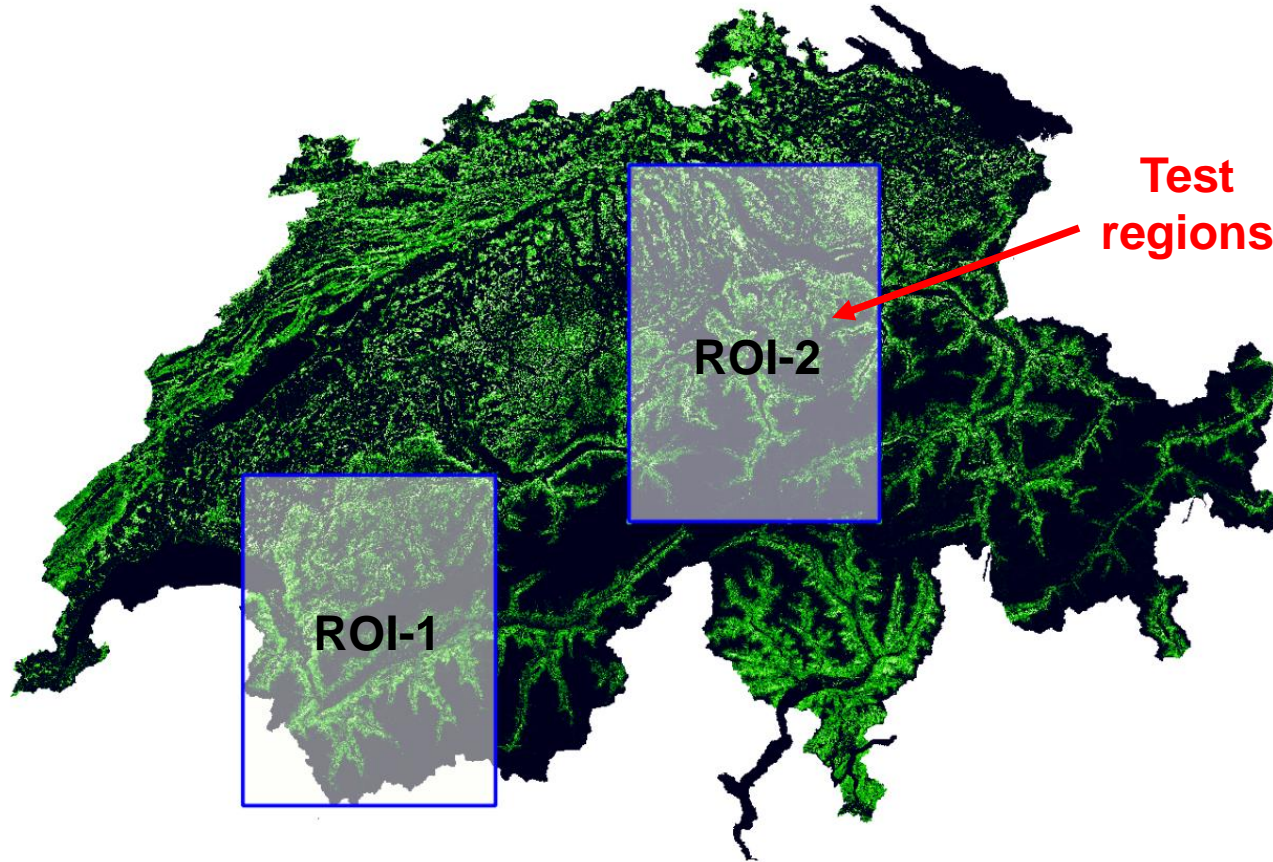
$$Loss = \frac{1}{N} \sum_{i=1}^N (f(x_i) - y_i)^2 + \lambda \frac{1}{W} \sum_{j=1}^W (w_j)^2$$

Diagram illustrating the components of the loss function:

- number of samples (pixels)**: Points to N in the denominator of the first term.
- Vegetation height predictions at pixel i** : Points to $f(x_i)$ in the first term.
- pixel intensities**: Points to x_i in the first term.
- ground truth at pixel i** : Points to y_i in the first term.
- number of model parameters**: Points to W in the denominator of the second term.
- model parameters**: Points to w_j in the second term.

Experiments

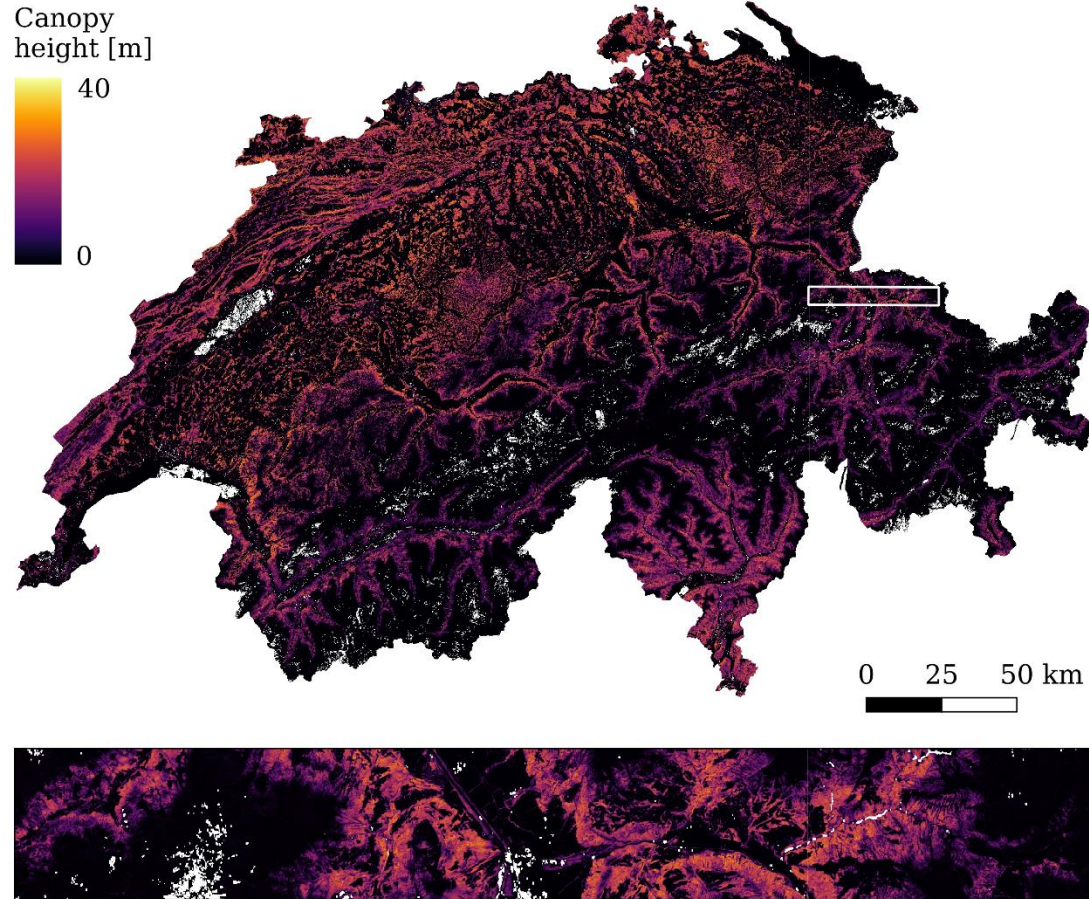
Switzerland



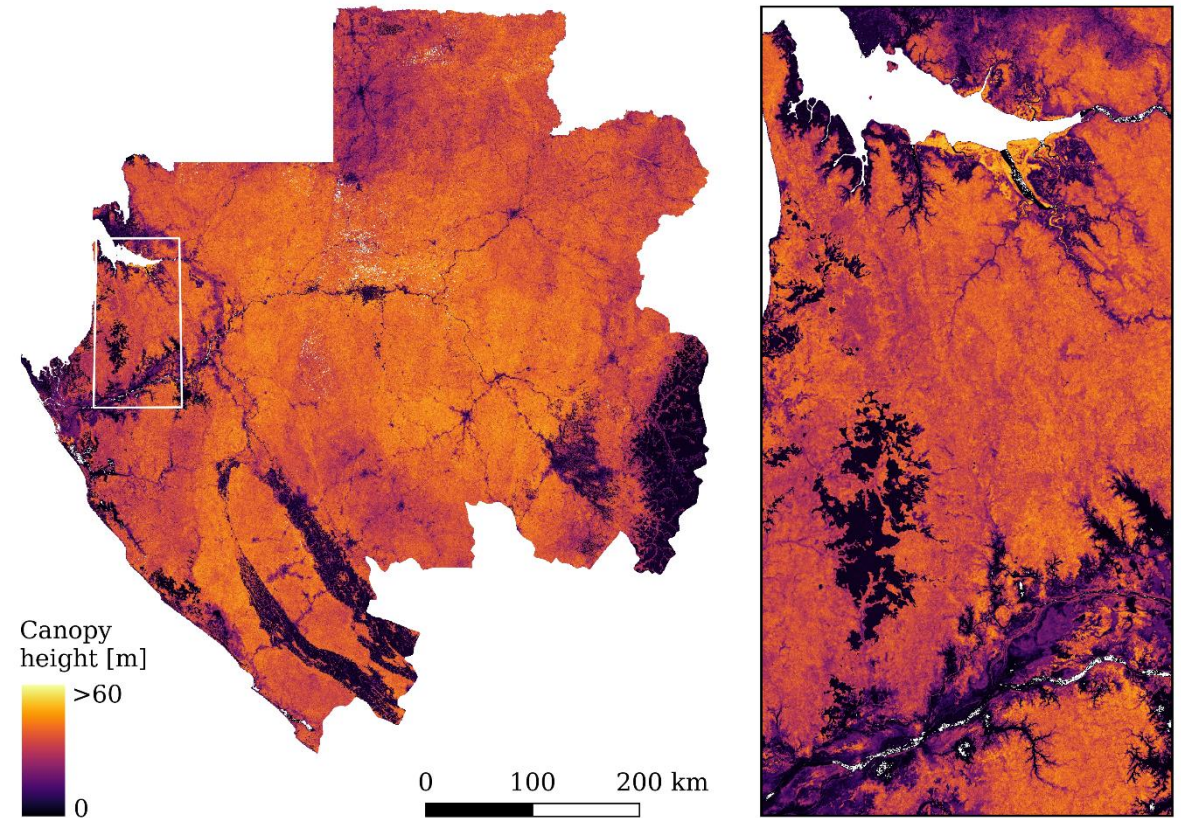
Gabon



Results at 10m ground sampling distance



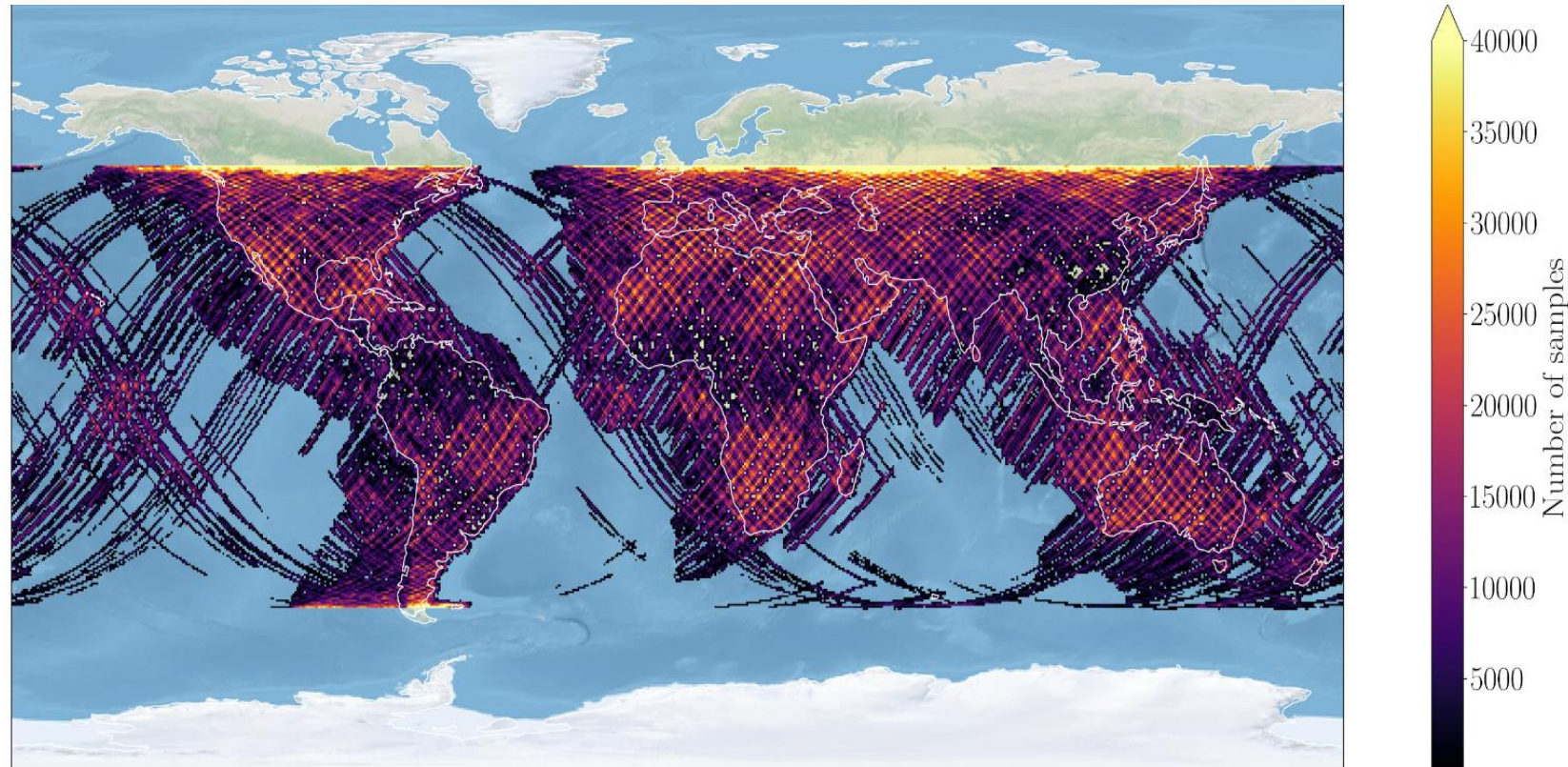
MAE ±1.7 m (for vegetation heights 0 to 40m)



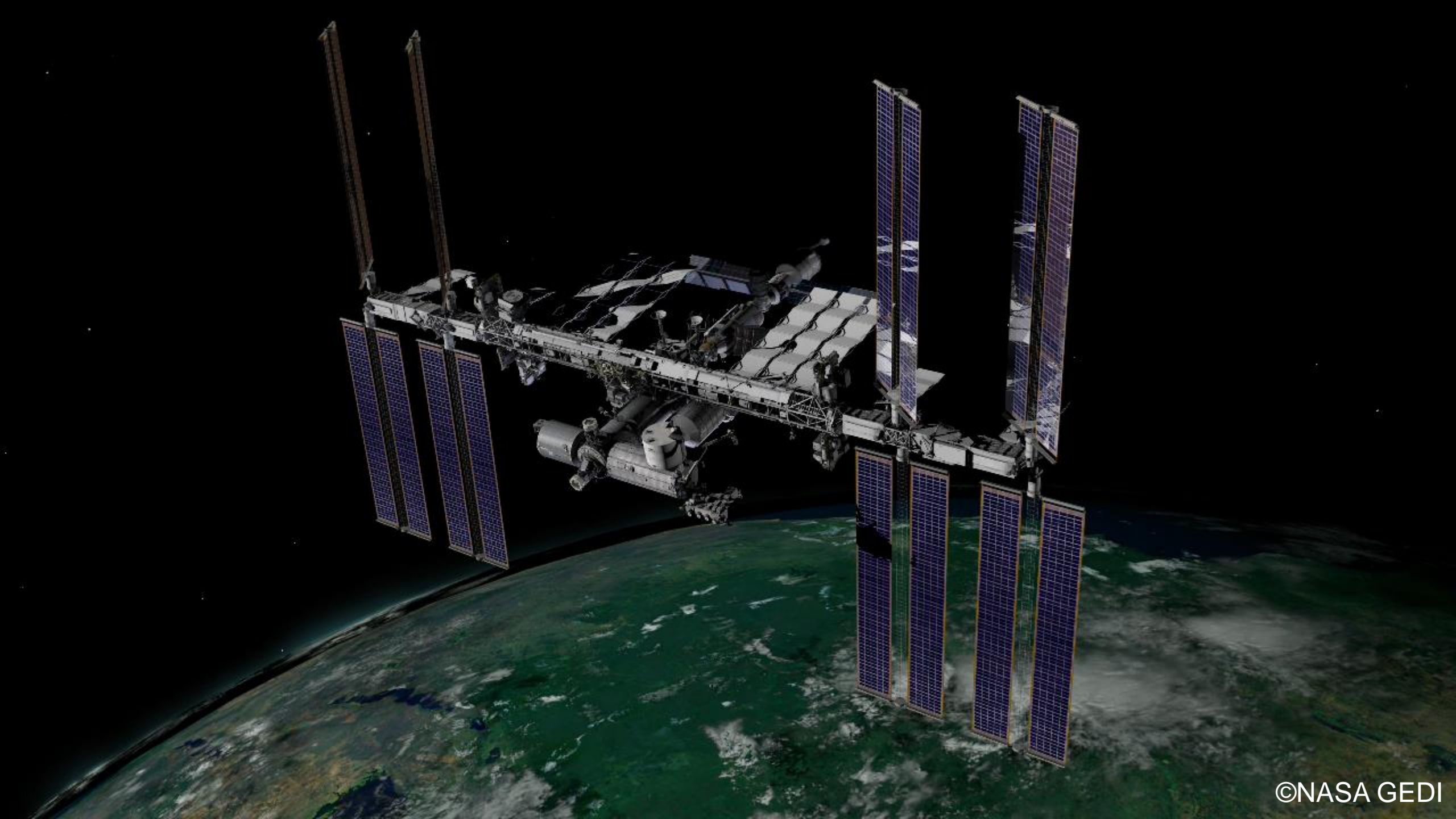
MAE ±4.3 m (for vegetation heights 0 to 60m)

Ongoing work: scale globally

- **Goal:** global vegetation height, biomass and HCS map with 20 meter resolution and near-realtime updates
- Collaboration with **NASA GEDI** team und **Amazon Research**
- **Idea:** train deep learning model on *full-waveform spaceborne Laserscanning* points of NASA GEDI mission und interpolate with satellite data

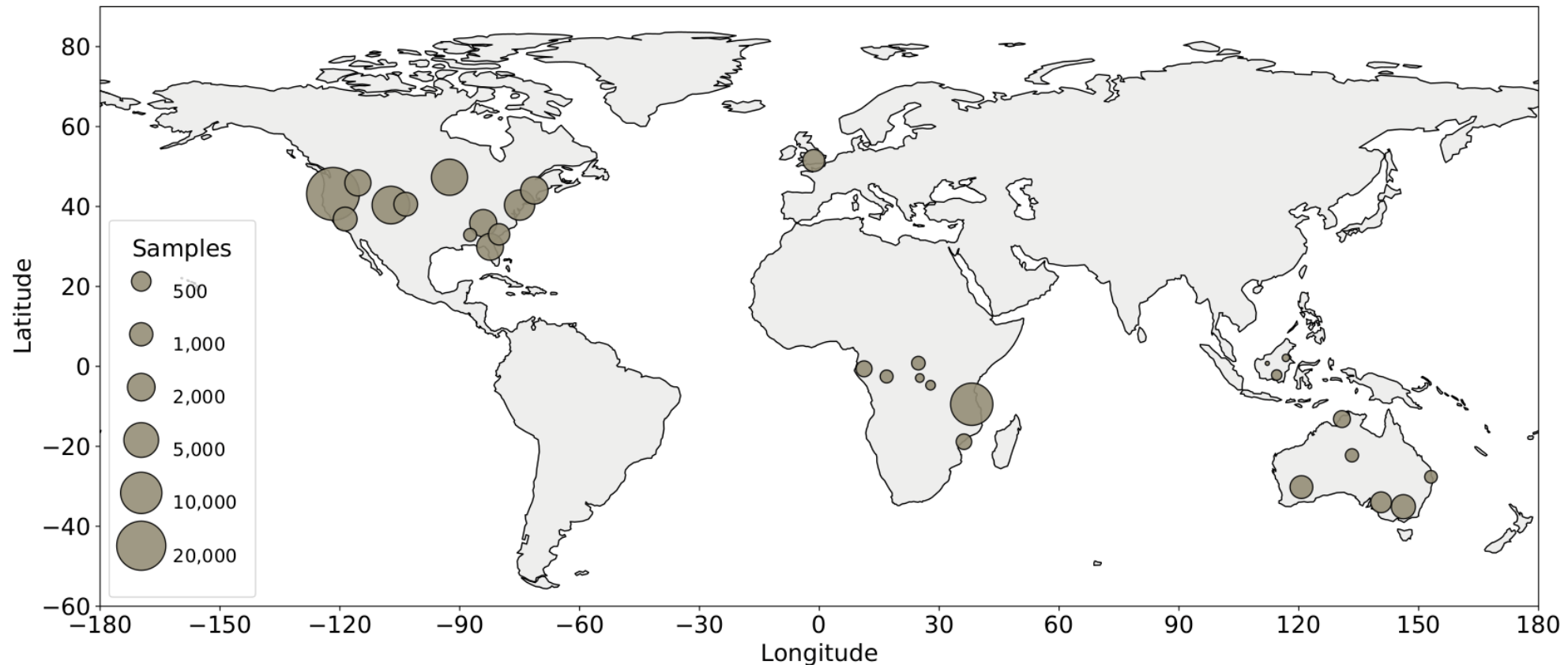


Lang, N., Kalischek, N., Armston, J., Schindler, K., Dubayah, R., Wegner, J.D.: Global canopy height estimation with GEDI LIDAR waveforms and Bayesian deep learning, 2021, under review.

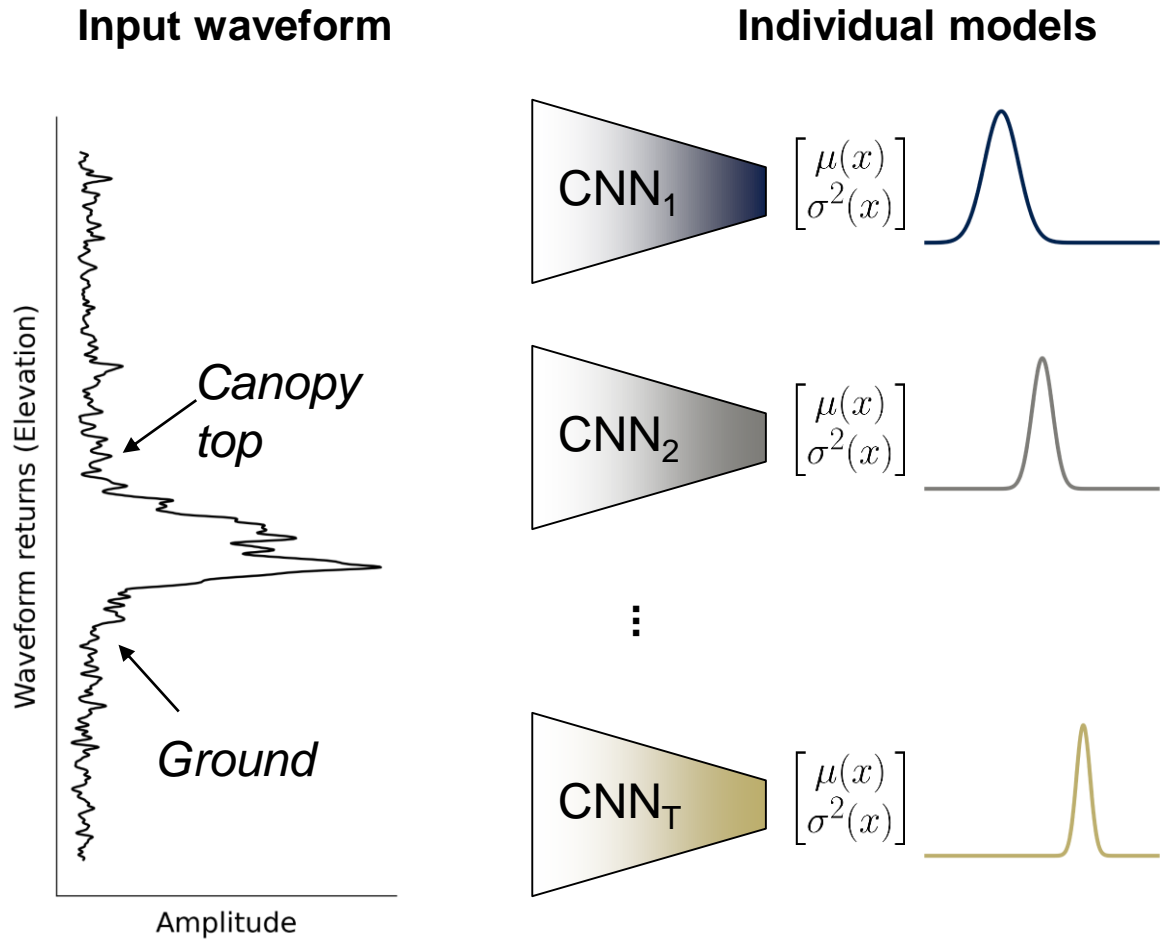


Reference sites

- Train, validate, test on reference sites collected by NASA GEDI team
- Full waveform airborne LiDAR used to simulate GEDI full waveform data
- Co-registration: waveform matching to align real GEDI waveforms with simulated waveforms



Calibration of GEDI data



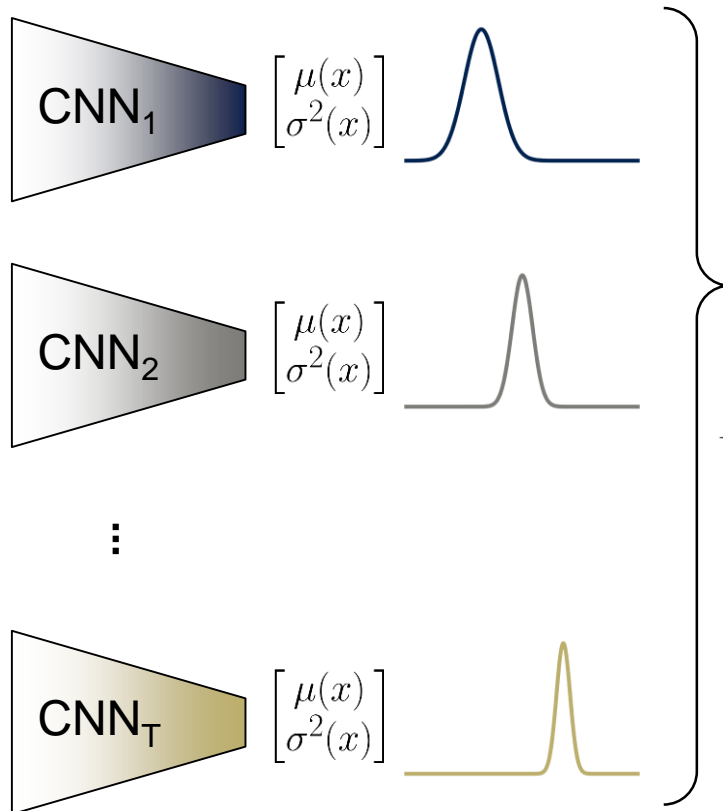
- Each CNN model is trained separately starting from random initializations
- Two outputs per model to approximate the conditional distribution $p(y|x)$
- Minimize the Gaussian negative log likelihood
- Optimize CNN parameters with stochastic gradient descent (SGD)

Training loss function

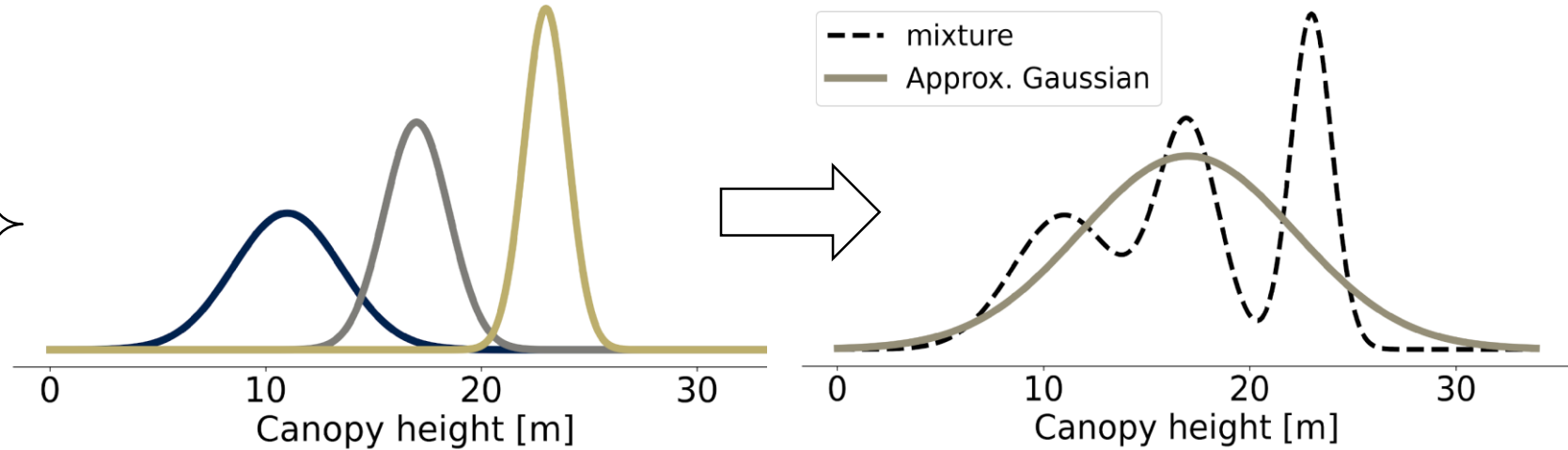
$$\mathcal{L}_{NLL} = \frac{1}{N} \sum_{i=1}^N \frac{1}{2\sigma(x_i)^2} (\mu(x_i) - y_i)^2 + \frac{1}{2} \log \sigma(x_i)^2$$

Calibration of GEDI data

Individual models



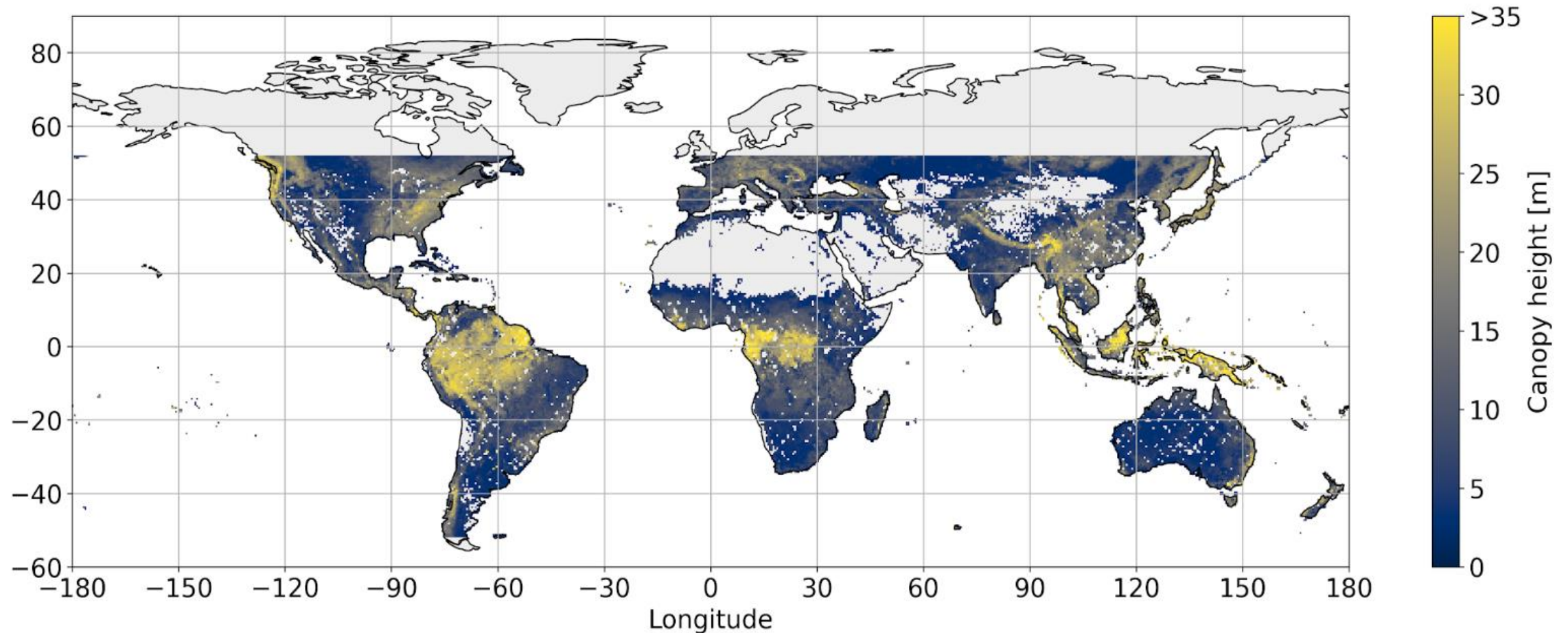
Ensemble averaging



$$\hat{y} = \frac{1}{T} \sum_{t=1}^T \hat{\mu}_t \quad \text{Var}(\hat{y}) = \underbrace{\frac{1}{T} \sum_{t=1}^T \hat{\mu}_t^2 - \left(\frac{1}{T} \sum_{t=1}^T \hat{\mu}_t \right)^2}_{\text{epistemic (model uncertainty) "knowledge"}}$$

$$+ \underbrace{\frac{1}{T} \sum_{t=1}^T \hat{\sigma}_t^2}_{\text{aleatoric (data uncertainty) "rolling a dice"}}$$

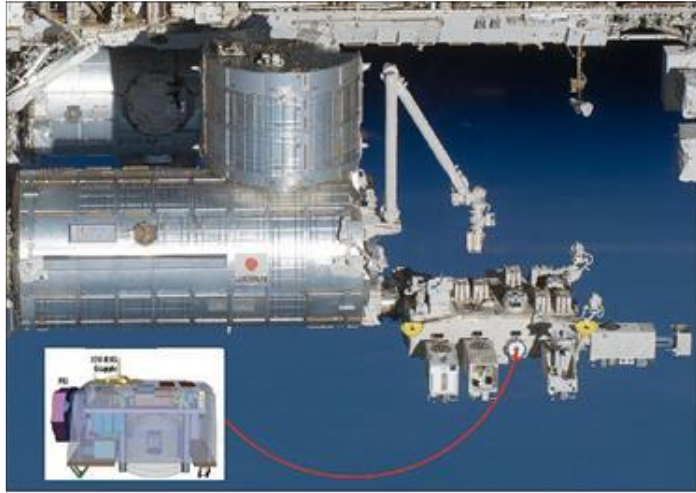
Results: global canopy height with 2.7 m RMSE



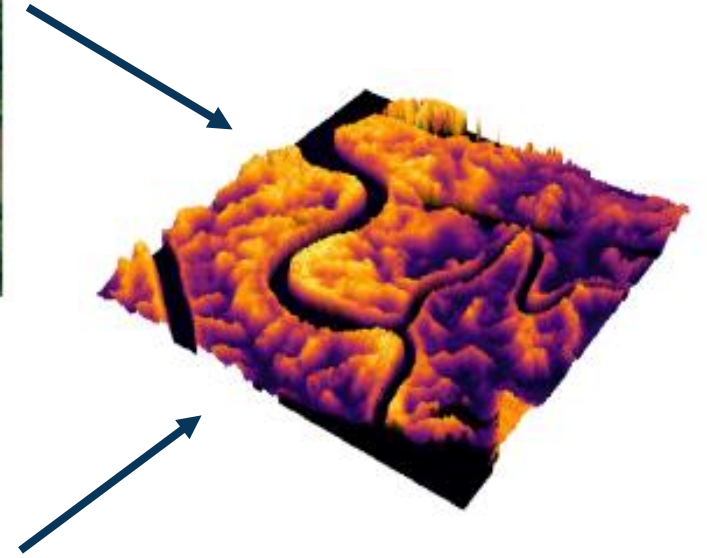
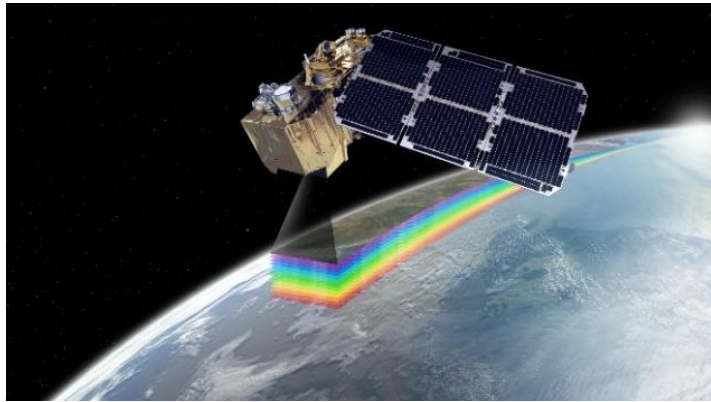
waveforms in non-vegetated areas are filtered out based on MODIS Vegetation Continuous Fields (MOD44B), waveforms filtered based on predictive uncertainty according to the 70% recall setting (i.e., 30% with highest uncertainty filtered out) and values below 0m height suppressed

Combination of sparse LiDAR footprints and dense Sentinel-2 predictions

GEDI

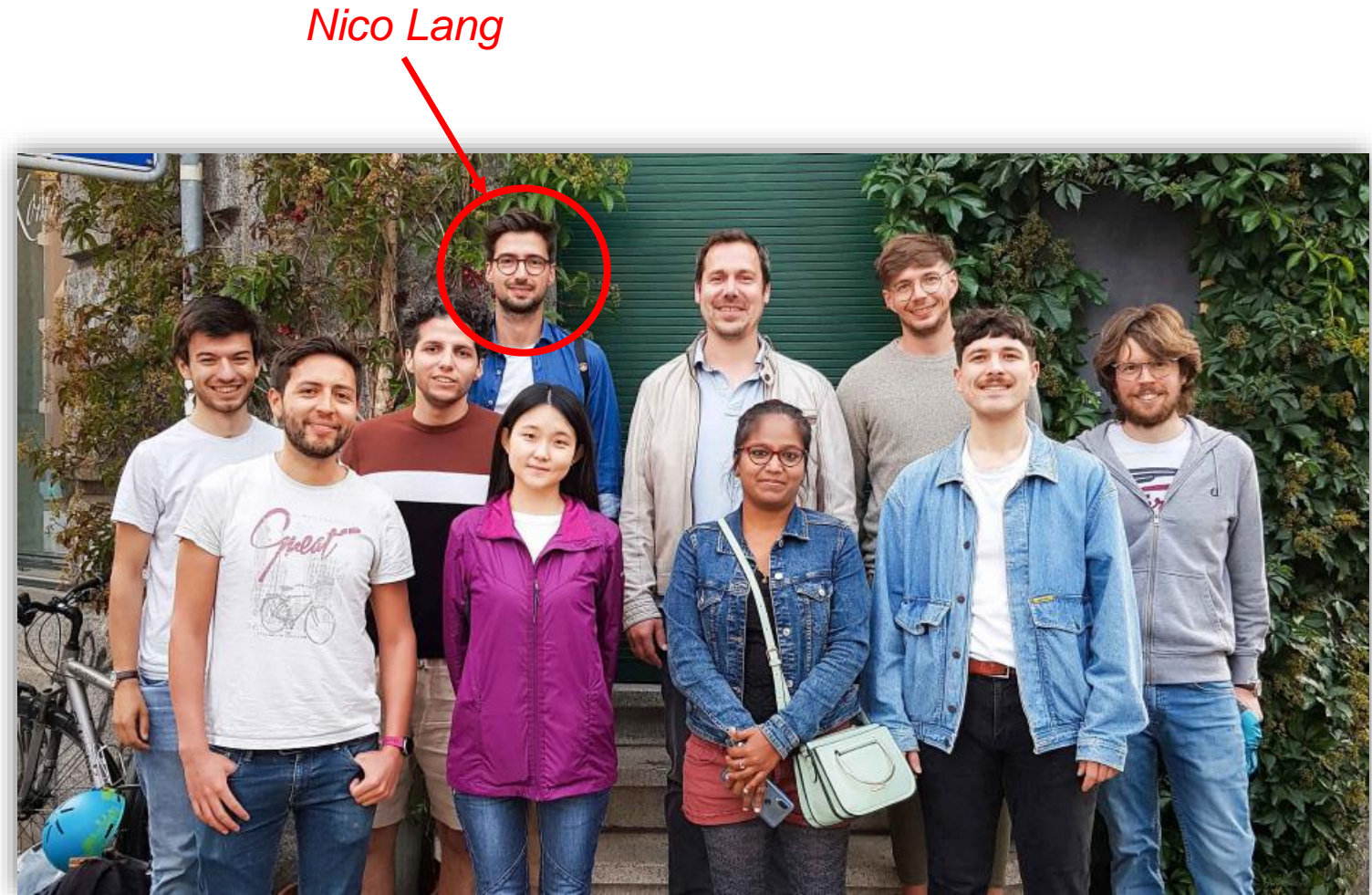


Sentinel-2



Exciting future directions

- ✓ *Add IceSat-2 for polar regions*
- ✓ *Investigate use of SAR data*
- ✓ *geometric deep learning for non-grid structured GEDI data*



Thanks to a great team @ EcoVision Lab !